

22783 U.S. PTO  
012704

# UTILITY PATENT APPLICATION TRANSMISSION

Our Docket No.:  
A-70028-1/MSS/TJH

Date: January 27, 2004

First Named Inventor: SENZAKI, Yoshihide

Title: MULTILAYER HIGH K DIELECTRIC FILMS  
AND METHOD OF MAKING SAME

Express Mail No.: EV 182 097 814 US

## CERTIFICATE OF MAIL (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MAIL STOP PATENT APPLICATION, FEE, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450 on January 27, 2004.

Signed

Laura Lee Mosier

## ADDRESS TO:

Mail Stop Patent Application, FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

22264 U.S. PTO  
10/766618  
012704

## APPLICATION ELEMENTS

1. ☒ Fee Calculation Sheet  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
3. ☒ Specification Total Pages: 11
  - ☒ Descriptive title of the invention
  - ☒ Cross References to Related Applications
  - ☐ Statement Regarding Fed. Sponsored R&D
  - ☐ Reference to Microfiche Appendix
  - ☒ Background of the Invention
  - ☒ Brief Summary of the Invention
  - ☒ Brief Description of the Drawings
  - ☒ Detailed Description
  - ☒ Claims
  - ☒ Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets: 4
5. ☐ Unexecuted Oath or Declaration Total Pages:
  - a. ☐ Newly Executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 19 completed)
    1. ☐ DELETION OF INVENTORS  
Signed statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))
6. ☐ Application Data Sheet (37 C.F.R. 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

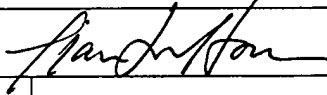
9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 C.F.R. 3.73(b) Submission
12. ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure Statement  
(with Copies of Citations as necessary)
15. ☐ Preliminary Amendment Total Pages:
16. ☒ Return Receipt Postcard  
(Should be specifically itemized)
17. ☐ Certified Copy of Priority document(s)  
(if foreign priority is claimed)
18. ☐ Other

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior Application No.: 10/056,625 filed January 25, 2002, which claims the benefit of U.S. Provisional Application No. 60/264,428 filed January 26, 2001

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference

## CORRESPONDENCE ADDRESS

ATTY NAME	Tianjun Hou Reg. No. 51,821	SIGNATURE: 	DATE: January 27, 2004
ADDRESS	DORSEY & WHITNEY LLP Four Embarcadero Center, Suite 3400 San Francisco, California 94111-4187		
TELEPHONE	(650) 494-8700		
FAX	(650) 494-8771		

Customer Number: 32940

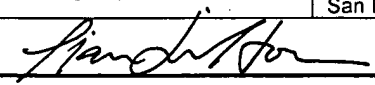
22783 U.S. PTO  
012704

# APPLICATION FEE TRANSMITTAL SHEET (FY 2004)

Complete if Known	
Application No.	to be assigned
Filing Date	January 27, 2004
First Named Inventor	Yoshihide SENZAKI
Group Art Unit	2811
Examiner Name	IM, Junghwa M.
Atty. Docket Number	A-70028-1/MSS (468330-936)

METHOD OF PAYMENT (Check One)				FEE CALCULATION (Continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: <u>50-2319</u> Deposit Account Name: <u>DORSEY &amp; WHITNEY LLP</u>  <input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)				<b>3. ADDITIONAL FEES</b>			
2. <input checked="" type="checkbox"/> Check Enclosed				Large Entity Fee	Small Entity Fee	Fee Description	Fee paid
<b>FEE CALCULATION</b>				50	25	Surcharge - late provisional filing fee or cover sheet	
<b>1. BASIC FILING FEE</b>				130	65	Surcharge - Late nonprovisional filing fee or oath	
Large Entity Fee	Small Entity Fee	Fee Description		180	180	Submission of IDS	
160	80	<input type="checkbox"/> Provisional Filing Fee		40	40	Recording each patent assignment per property (times number of properties)	
770	385	<input type="checkbox"/> Utility Filing Fee					
340	170	<input type="checkbox"/> Design Filing Fee					
770	385	<input type="checkbox"/> Reissue Filing Fee					
Subtotal (1)				385.00			
<b>2. EXTRA* CLAIM FEES</b>							
	Number Claims	Prior	Extra	Fee from Below*	Fee Paid		
Total	19	-	20	=	x	=	
Indep.	1	-	3	=	x	=	
Multiple Dependent Claims				=	x	=	
Subtotal (2)							
<b>*Calculation of Extra Claim Fees</b>							
Large Entity Fee	Small Entity Fee	Fee Description					
18	9	Claims in excess of 20					
86	43	Independent claims in excess of 3					
290	145	Multiple dependent Claim					
86	43	Reissue independent claims over original patent					
18	9	Reissue claims in excess of 20 and over original patent					
				110	55	Extension for reply within first month	
				420	210	Extension for reply within second month	
				950	475	Extension for reply within third month	
				1,480	740	Extension for reply within fourth month	
				2010	1005	Extension for reply within fifth month	
				770	385	Submission After Final 1.129	
				330	165	Notice of Appeal	
				330	165	Filing a brief in support of an appeal	
				290	145	Request for oral hearing	
				110	55	Terminal Disclaimer Fee	
				130	130	Petitions to the Commissioner	
				50	50	Petitions related to provisional applications	
				1,330	665	Utility/Reissue Issue Fee (including advance copies)	
				480	240	Design Issue Fee (inc. advance copies)	
				770	385	Request for Continued Examination (RCE)	
				300	300	Publication fee for early, voluntary, or normal publication	
				300	300	Publication fee for re-publication	
				110	55	Petition to Revive - unavoidable	
				1,330	665	Petition to Revive - unintentional	
				OTHER FEE (specify):			
				Subtotal (3)			385.00
				Total Amount of Payment:			385.00

Submitted by:

Name: Tianjun Hou, Esq.	Reg. No.: 51,821	Telephone: 650-494-8700
DORSEY & WHITNEY LLP	Four Embarcadero Center, Suite 3400 San Francisco, California 94111-4187	Customer Number 32940
Signature: 		Date: January 27, 2004